

**Committee:** Governance, Audit & Performance Committee      **Date:** Monday 31 January 2022  
**Title:** 2021/22 Corporate Risk Register  
**Report Author:** Oliver Knight, PFI & Performance Officer  
[oknight@uttlesford.gov.uk](mailto:oknight@uttlesford.gov.uk)  
Paula Evans, Customer Services & Performance Manager  
[pevans@uttlesford.gov.uk](mailto:pevans@uttlesford.gov.uk)

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## Summary

1. This report provides members with an update of the 2021/22 Corporate Risk Register (CRR), which includes corporate-level risks that have been identified and managed during the 2021/22 year. The 2021/22 CRR continues to be reviewed to reflect current circumstances, and ensure appropriate mitigating actions are being progressed. The Corporate Risk Register also now includes a new risk relating to Contract Management which has been identified since the previous update.

## Recommendations

2. The 2021/22 CRR is noted.

## Financial Implications

3. There are no direct financial implications associated with this report, other than the risk directly addressing Financial Control (21-CR-01).

## Background Papers

4. The following papers were referred to by the author in the preparation of this report:
  - Governance, Audit & Performance Committee Reports, providing previous updates on Uttlesford District Council's Corporate Risk Register.
  - Risk Management Strategy

## Impact

- 5.

Communication/Consultation	Internal communication on risk management is required through CMT and SMT meetings.
Community Safety	None

Equalities	None beyond general management of equality and diversity impacts for identified risks.
Health and Safety	A corporate risk has been identified relating directly to Health & Safety ( <b>21-CR-03</b> ), and impacts are considered as part of this risk's update and review.
Human Rights/Legal Implications	None
Sustainability	None
Ward-specific impacts	None
Workforce/Workplace	None

## Situation

6. Appendix A presents an update of the 2021/22 Corporate Risk Register. For each corporate risk identified, current scores and information regarding the status of any current controls and mitigating actions in place are presented.
7. The CRR consists of a number of strategic cross-cutting risks which if not controlled could have a serious impact on the council's ability to carry out both its statutory and non-statutory duties to residents.
8. The risks included in the CRR are considered to be those which are most critical for control, and which could affect the organisation at a corporate level if not managed effectively.
9. Whilst the Performance Team co-ordinate the review and update process for the CRR, the Chief Executive Officer and Directors have responsibility for the register and specific risks contained therein. Control of the risks and the implementation of associated mitigating actions is co-ordinated through the Corporate Management Team (CMT) and relevant Service Managers.
10. The structure, format and control of risks is consistent through both corporate and service-level registers. Each risk is structured to initially identify the basis of the risk occurring, its causative event and potential impacts on the council and/or service area. This structure is derived from the Risk Management Policy, which was adopted in the 2017/18 year.
11. The full 2021/22 Corporate Risk Register was last reviewed and presented to this committee in June 2021. Since then, a paper noting the inclusion of a new corporate risk considering Investments (**21-CR-12**) was presented to this Committee in November 2021.

12. Whilst the structure of risks has remained predominantly the same since the previous update, all risks have been reviewed by the CMT. As a fundamental part of this review, all risk owners were required to review Current Controls in place, and consider any Further Actions which need to be implemented to mitigate the risk. A written progress update has also been included for each individual risk by the risk owner; providing further detail on relevant risk mitigation measures.
13. Members are asked to note the inclusion of a new risk to the CRR; **21-CR-13**. Focusing upon contracts and partnership management; this risk has been introduced by the CMT following concerns raised particularly surrounding the Uttlesford Norse Contract. Managing this risk will ensure the council is effectively monitoring all current contracts and service agreements; making expectations clear on standards, quality and performance levels for both our contracted and partnership services.

### Other Points

14. The Performance Team intend to refresh UDC's Risk Management Policy in the near future. This policy update will enable the inclusion of the new service-level risk management process introduced for the 2020/21 & 2021/22 years, and incorporate lessons learnt regarding risk management during the Covid-19 pandemic.

### Risk Analysis

15.

Risk	Likelihood	Impact	Mitigating actions
If risks are not properly identified or managed then preventable problems could occur and affect the council's finances, reputation or resources. Conversely, opportunities to improve these aspects may be underutilised	1	3	The Council's Risk Management Policy and Corporate Risk Register ensure effective risk management is placed centrally within its operations, both at a corporate level and service-level.

1 = Little or no risk or impact

2 = Some risk or impact – action may be necessary.

3 = Significant risk or impact – action required

4 = Near certainty of risk occurring, catastrophic effect or failure of project.

Appendix 1: 2021/22 Corporate Risk Register Update 2